

APPENDIX B:

Consolidated Response Table

This appendix consolidates the Operating Administration reports into the three categories; Essential, Critical, and Emergent. Appendix A presented each Operating Administration response to the questionnaire. It is interesting to note the differing levels of detail, discretion exercised by the administrators, and the reporting (or lack thereof) to Congressional Offices.

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FAA	None					
FHWA	Highway Accidents/ Incidents	Major Media event	Note	e-mail, Phone (discretion of HOA-1)	Operations	HOA-1, and/or Applicable RSPA(s), OET, DET 4 & 5
FHWA	Highway Accidents/ Incidents	Road Closure	Note	e-mail, Phone (discretion of HOA-1)	Operations	HOA-1, and/or Applicable RSPA(s), OET, DET 4 & 5
FMCSA	Natural Disaster (relief from regulations)	Emergency Exemptions e.g., exemptions for delivery of fuel oil during snow storm	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Accidents/Incidents	Emergency Exemptions	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Accidents/Incidents	Multiple Fatalities	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Accidents/Incidents	Multiple injuries	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FMCSA	Accidents/Incidents	High Property damage	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Accidents/Incidents	High Media interest	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Accidents/Incidents	HAZMAT	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Other Significant Events	Military Operations	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Other Significant Events	Demonstrations by Motor Carrier Industry	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FMCSA	Other Significant Events	Safety Trends impacting Commerce (Especially intermodal)	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FMCSA	Other Significant Events	Disruption of FMCSA (COOP activation facilities)	Note or Memo, (Updates as necessary)	e-mail, Hand carry, FAX, Briefing	A-1, FMCSA Administrator, (Option to report to S-1), (Info. provided by Director of Enforcement & Compliance)	S-1
FRA	Train Accident	Derailment of a large number of cars	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Derailment w/HazMat release and/or evacuation	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Amtrak or commuter train derailment or collision	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Major train collisions w or w/o injuries or fatalities	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Northeast Corridor incidents/service disruptions	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FRA	Train Accident	Highway-rail grade crossing collision w/2 or more fatalities	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Highway-rail grade crossing collision involving a school bus or HazMat carrier	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Highway-rail grade crossing collision in AR, TN, IL, TX	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Highway-rail grade crossing collision where potential safety concerns/issues exist	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	High profile or media attractive events	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident/ Service Disruption	Natural disasters which impede normal passenger or freight operations	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC or FRA Regional Office	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Railroad Employee Fatality	Railroad employee killed while on duty	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC or FRA Regional Office	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FRA	Train Accident	Train accident where vandalism or sabotage is suspected	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC or FRA Regional Office	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FRA	Train Accident	Nuclear train involved in any incident	Verbal and/or Internal Briefing Paper	Phone, E-mail or fax	Accident Reports Branch via NRC or FRA Regional Office	Chief of Staff, Dep. Sec Policy Adviser, Congressional Affairs
FTA	Transit Accident	Derailment	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Major collisions w/wo injuries/fatalities	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Collision w/ 2 or more fatalities	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Collision w/ school bus or HazMat carrier	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Collision w/ potential safety concerns/issues	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Collision located in AR, OH	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	High profile or media attractive event	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Natural disaster impeding normal operations	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Vandalism or sabotage suspected	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
FTA	Transit Accident	Significant service disruption	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
FTA	Transit Accident	Sensitive to FTA	Hard Copy, Phone	Alert Bulletin	TPM-30 to TOA-1, TPA-1	S-1 (CS), S-2, A-1 (At TOA-1 or TPA-1 Discretion)
MARAD	Military Operations	Activate Ready Reserve Force Ships	Note	e-mail, Phone, Hand Carry or Briefing	Administrator of MARAD	S-1
MARAD	Military Operations	Intermodal	Note	e-mail, Phone, Hand Carry or Briefing	Administrator of MARAD	S-1
MARAD	Military Operations	Voluntary Sealift Agreement	Note	e-mail, Phone, Hand Carry or Briefing	Administrator of MARAD	S-1
MARAD	Military Operations	Voluntary Tanker Agreement	Note	e-mail, Phone, Hand Carry or Briefing	Administrator of MARAD	S-1
NHTSA	None					
RSPA - Pipeline	Pipeline Accident/ Incident	Event itself, Less noteworthy – one time report	Information Bulletin	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1, S-2
RSPA - Pipeline	Pipeline Accident/ Incident	NTSB notified of event	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Major Earthquake	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET

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Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
RSPA - Pipeline	Pipeline Accident/ Incident	Major International event	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Fatality or 2 or more injuries	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Significant Commodity Released	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Ongoing release endangering public or the environment (including major waterways)	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Property damage over \$100,000	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Multi-modal event	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	Reasonable likelihood of major regulatory or legislative issue	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET

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Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
RSPA - Pipeline	Pipeline Accident/ Incident	Widespread media coverage	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
RSPA - Pipeline	Pipeline Accident/ Incident	DOT official traveling in that state in the near future	Situation Report	Hard Copy, e-mail (forwarded at discretion of DRP-1 or DPP-3)	DPS-22	S-1 (3), S-2 (1), I-10 (2), A-1&10 (2), OET
SLS	Commercial Vessel Incident	½ Day+ Stoppage to Navigation (actual or anticipated)	Informal text note	e-mail, FAX	SLS-1	S-1 Adjutant
SLS	Commercial Vessel Incident	Local Media Attention	Informal text note	e-mail, FAX	SLS-1	S-1 Adjutant
SLS	Lock Failure	½ Day+ Delay to Navigation (actual or anticipated)	Informal text note	e-mail, FAX	SLS-1	S-1 Adjutant
SLS	Lock Failure	Local Media Attention	Informal text note	e-mail, FAX	SLS-1	S-1 Adjutant
TASC	Utilities - Water, Sewage, Electric	Significant outage (actual or anticipated)	Note	e-mail	Director	
TASC	Security		Note	e-mail	Director	
TASC	Telecommunications	Unscheduled disruption to service	Note	e-mail	Director	

Consolidated Essential Situation Types

Agency	Situation Type	Triggering Event	Submittal Method	Report Mechanism	Modal Information Source	OST Recipient/ Position Title
USCG	Anti/counter Terrorism	Incident itself or Intelligence Report	SITREP	e-mail	Districts or G-OPF to NRC	S-1
USCG	Natural Disaster Response: Earthquake, Landslide, Flooding, Evacuation, Fires, Severe WX, Tsunami	Incident itself or Intelligence Report Only if Coast Guard Involvement and media interest exist – Waterway closed, train accident resulting in bridge damage, CG assets deployed.	SITREP	e-mail	G-OPF	Comdt
USCG	Civil Disturbance (Only if CG involved)	Incident itself	SITREP	e-mail	G-OPF	Comdt
USCG	Labor Actions Vital Public Service (Only if CG involved)	Incident itself	SITREP	e-mail	G-OPF	Comdt
USCG	Marine Environmental Response	All HazMat events		e-mail	G-MOR to NRC	